

Group invariant machine learning on pure maths datasets

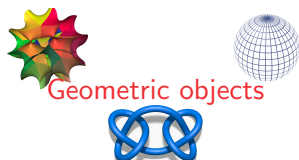
Daniel Platt (Imperial College London)

8 Feb 2024

The University of Hong Kong

Abstract: It is a recent trend to use machine learning on pure maths datasets, for example to approximately compute geometric invariants of spaces that are expensive to compute exactly. Often, the map taking some representation of a space to its geometric invariants is invariant under some group action. A common example is that the input space is represented by a matrix and the map is invariant under row and column permutations. I report on some work comparing group invariant and ordinary machine learning models on such datasets. We find that models that are approximately group invariant perform better than fully group invariant models and better than models that are not invariant at all. I will explain one such "approximately group invariant" machine learning model in detail. This is based on two joint works: one published paper with B. Aslan, D. Sheard, and one unpublished work in progress with C. Ewert, S. Magruder, V. Maiboroda, Y. Shen, P Singh.

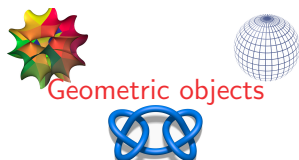
Motivation



Numerical invariants

- ▶ Topological space
 - ▶ Complex manifold
 - ▶ Knot
- ▶ Betti numbers
 - ▶ Hodge numbers
 - ▶ Jones polynomial
- ▶ **String theory**: find complex manifolds with large Hodge number and other prescribed properties [He et al., 2014, p.7]
 - ▶ Billions of candidates, single computation can take days [Aggarwal et al., 2023]
 - ▶ Idea: machine learning computes numerical **fast but approximately**
↪ **identify most promising candidates**
 - ▶ (Bonus motivation: machine learning may suggest new theorems/ways to compute invariants, e.g. [Coates et al., 2023, Davies et al., 2021, Dong et al., 2023])

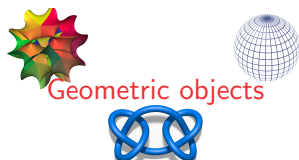
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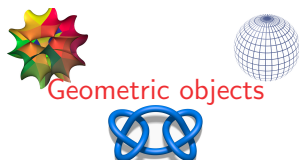
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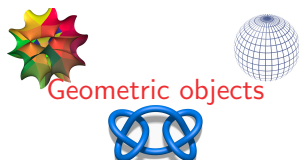
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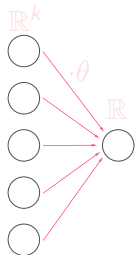


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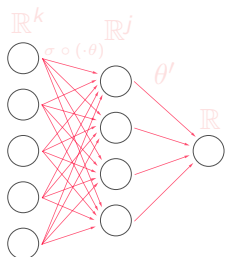
Neural networks

- ▶ Data $(x_i, y_i) \in \mathbb{R}^k \times \mathbb{R}$ for $i = 1, \dots, N$. Find $f : \mathbb{R}^k \rightarrow \mathbb{R}$ s.t. $f(x_i) \approx y_i$
- ▶ Linear regression: let $\theta \in \mathbb{R}^{k \times 1}$ (view as $\theta : \mathbb{R}^k \rightarrow \mathbb{R}^1$) minimise



$$\min_{\theta \in \mathbb{R}^{k \times 1}} \sum_{i=1}^N |\theta \cdot x_i - y_i|^2$$

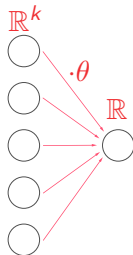
- ▶ **Neural network:** let $\sigma : \mathbb{R} \rightarrow \mathbb{R}$ be non-linear, e.g. $\sigma(x) = \text{ReLU}(x) := \max(0, x)$. Let $\theta \in \mathbb{R}^{j \times k}$ and $\theta' \in \mathbb{R}^{1 \times j}$ minimise



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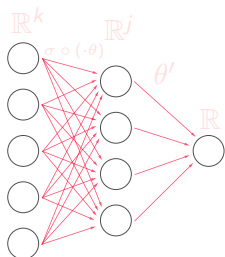
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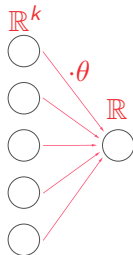
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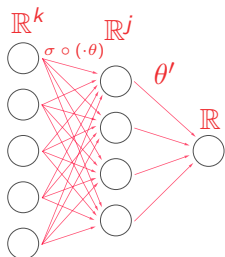
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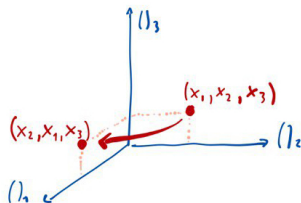


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Group actions

- ▶ Example: S_3 = permutation group of 3 elements

$$S_3 \curvearrowright \mathbb{R}^3, \text{ e.g. } (1, 2) \cdot (x_1, x_2, x_3) = (x_2, x_1, x_3)$$



- ▶ $f : \mathbb{R}^3 \rightarrow \mathbb{R}$ group invariant : $\Leftrightarrow f(g \cdot x) = f(x)$ for all $g \in S_3$ and $x \in \mathbb{R}^3$

- ▶ Example:

$$\max : \mathbb{R}^3 \rightarrow \mathbb{R}$$

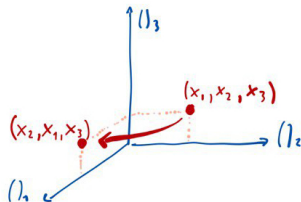
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- ▶ Approximate max, but need not be group invariant
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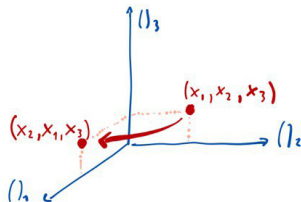
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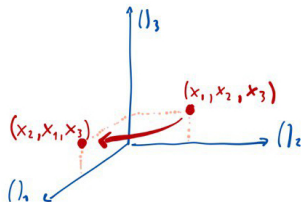
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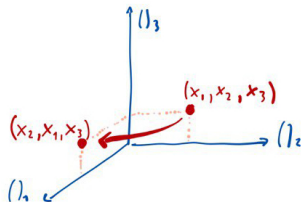
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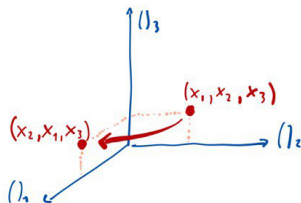
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Previous approaches

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2. **Restricting weights** of neural networks [Zaheer et al., 2017] ("Deep Sets")
3. **Averaging techniques:**
Let $NN : \mathbb{R}^3 \rightarrow \mathbb{R}$ be a neural network architecture, not necessarily invariant

$$\begin{aligned}\widetilde{NN} : \mathbb{R}^3 &\rightarrow \mathbb{R} \\ (x_1, x_2, x_3) &\mapsto \sum_{g \in S_3} NN(g \cdot (x_1, x_2, x_3))\end{aligned}$$

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New approach: group invariant pre-processing [Aslan et al., 2023]

- ▶ Take $F : \mathbb{R}^3 \rightarrow \mathbb{R}^3$ s.t. $F(g \cdot x) = F(x)$ for all $g \in S_3$ and $x \in \mathbb{R}^3$
- ▶ Neural network $NN \rightsquigarrow$ define $\widetilde{NN} := NN \circ F$
 $\Rightarrow \widetilde{NN}(g \cdot x) = NN(F(g \cdot x)) = NN(F(x)) = \widetilde{NN}(x)$

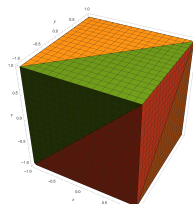
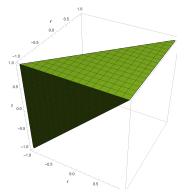
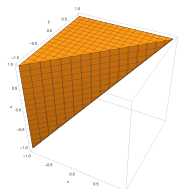
Train \widetilde{NN} instead of NN

(Equivalent: train on data $(F(x), y)$ rather than (x, y))

How to get good F ?

- ▶ $U \subset \mathbb{R}^N$ **fundamental domain** for $G \curvearrowright \mathbb{R}^N \Leftrightarrow$
 1. U open and connected
 2. for all $x \in X$ the orbit $G \cdot x := \{g \cdot x : g \in G\}$ intersects \overline{U}
 3. if $G \cdot x$ intersects U , then the intersection is unique
- ▶ $F : \mathbb{R}^N \rightarrow \mathbb{R}^N$ def by $x \mapsto$ **intersection of $G \cdot x$ and \overline{U}**

Example: $G = S_3 \curvearrowright \mathbb{R}^3$, $U := \{(x_1, x_2, x_3) \in \mathbb{R}^3 : x_1 > x_2 > x_3\}$



$$F : \mathbb{R}^3 \rightarrow \overline{U}$$
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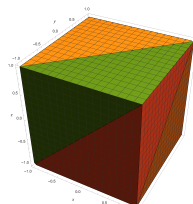
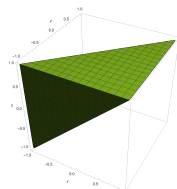
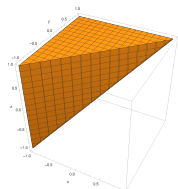
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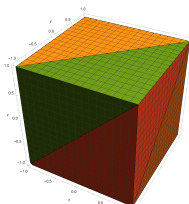
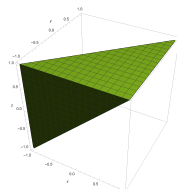
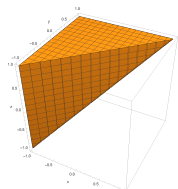
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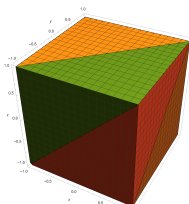
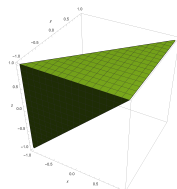
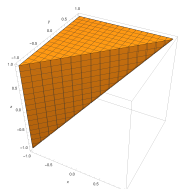
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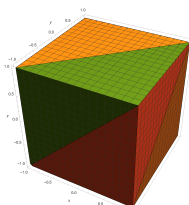
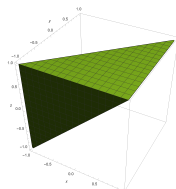
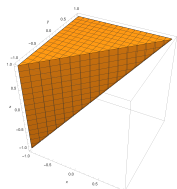
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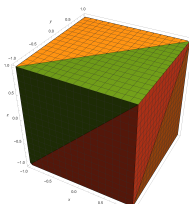
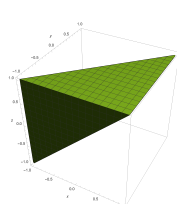
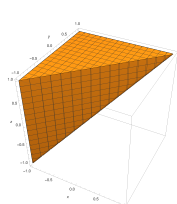
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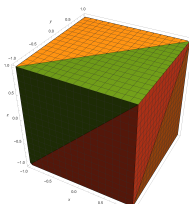
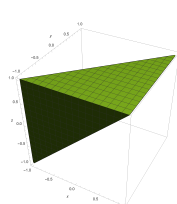
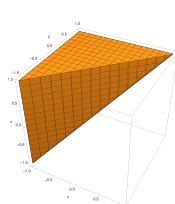
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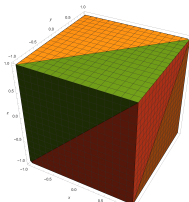
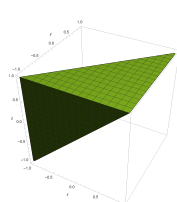
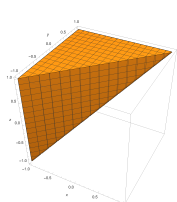
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to maximise $\langle y, x_0 \rangle = 3y_1 + 2y_2 + y_3$ want to order y_1, y_2, y_3 s.t. **biggest coord first**

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we extend to case $G \curvearrowright \mathbb{R}^n$

► Approach 2: **Dirichlet Fundamental Domain**

$G \subset S_n \curvearrowright \mathbb{R}^n$ acts through isometries, i.e. $|x| = |g \cdot x|$

$x_0 \in \mathbb{R}^n$ generic, define

$U := \{x \in \mathbb{R}^n : \langle x, x_0 \rangle > \langle g \cdot x, x_0 \rangle \text{ for all } g \in G\}$, where $\langle \cdot, \cdot \rangle$ is dot product

$F : \mathbb{R}^n \rightarrow \mathbb{R}^n$

$x \mapsto \tilde{g}x$ where $\tilde{g} \in G$ s.t. $\langle \tilde{g}x, x_0 \rangle = \max_{g \in G} \langle g \cdot x, x_0 \rangle$

e.g. $S_3 \curvearrowright \mathbb{R}^3$, $x_0 = (3, 2, 1)$, project $y = (y_1, y_2, y_3)$

to maximise $\langle y, x_0 \rangle = 3y_1 + 2y_2 + y_3$ want to order y_1, y_2, y_3 s.t. **biggest coord first**

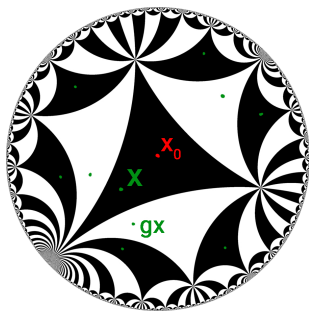
$\rightsquigarrow \bar{U} = \{(y_1, y_2, y_3) \in \mathbb{R}^3 : y_1 \geq y_2 \geq y_3\}$ same as before!

For more general groups

- ▶ Groups can be large, e.g. $S_{15} \curvearrowright \mathbb{R}^{15}$ has $|S_{15}| = 15! \approx 10^{12}$
 \Rightarrow data augmentation and averaging techniques **impossible**
(NN with restricted weights still possible)
- ▶ Ours can be generalised to $G \curvearrowright M$ for M a complete Riemannian manifold

$$U := \{x \in M : d(x, x_0) < d(g \cdot x, x_0) \text{ for all } g \in G\}$$

e.g. $SL(2, \mathbb{Z}) \curvearrowright \mathbb{H}^2$



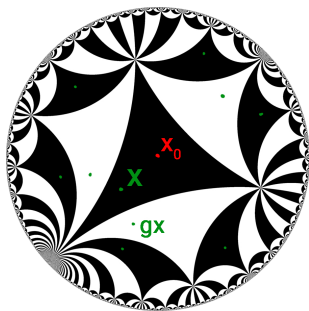
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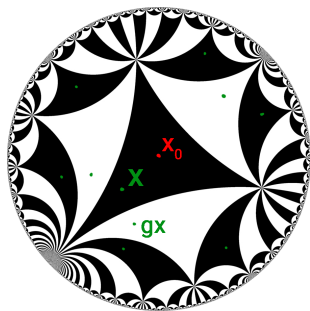
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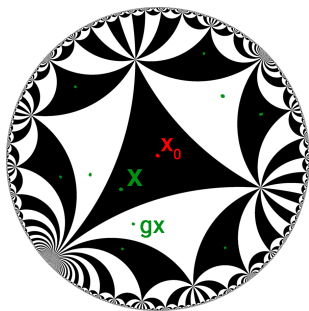
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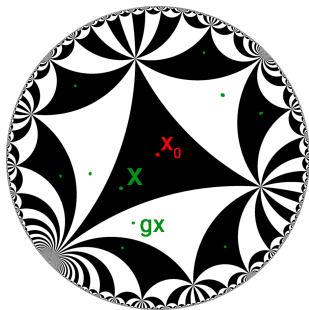
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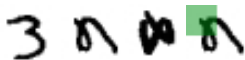
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Example 1: Rotated MNIST

- ▶ 28×28 pixel images showing a digit, possibly rotated by $90^\circ, 180^\circ, 270^\circ$



- ▶ Learn

$$h: \mathbb{R}^{28 \times 28} \rightarrow \{0, 1, 2, \dots, 9\}$$

$x \mapsto$ the digit shown in x

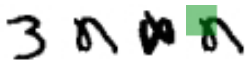
- ▶ Have $\mathbb{Z}_4 \curvearrowright \mathbb{R}^{28 \times 28}$ by rotation and h is \mathbb{Z}_4 -invariant (note $\mathbb{Z}_4 \subset S_{28 \cdot 28} = S_{784}$)
- ▶ Define U (fundamental domain) and F (projection): (small lie, x_0 not generic)

$$x_0 = \left(\begin{array}{ccc|ccc} 4 & 4 & \dots & 3 & 3 & \dots \\ 4 & 4 & \dots & 3 & 3 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \\ \hline 2 & 2 & \dots & 1 & 1 & \dots \\ 2 & 2 & \dots & 1 & 1 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots \end{array} \right), \quad \bar{U} := \left\{ x \in \mathbb{R}^{28 \times 28} : \langle x, x_0 \rangle = \max_{g \in S_4} \langle g \cdot x, x_0 \rangle \right\}$$

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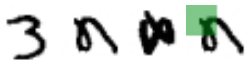
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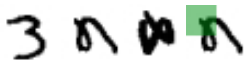
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| | No pre-processing | F |
|--------------|-------------------|-------------------|
| Linear | 0.677 ± 0.001 | 0.784 ± 0.001 |
| MLP | 0.939 ± 0.001 | 0.953 ± 0.003 |
| SimpNet (19) | 0.979 | 0.979 |

(pre-processing useful for very small models)

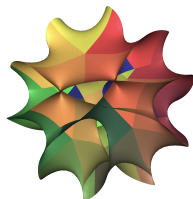
Example 2: Complete Intersection Calabi-Yau (CICY) matrices

- ▶ have procedure $M \in \mathbb{R}^{12 \times 15} \rightsquigarrow f_1, \dots, f_{15}$ polynomials such that

$$\text{CY}(M) := \{x \in \mathbb{C}\mathbb{P}^{k_1} \times \dots \times \mathbb{C}\mathbb{P}^{k_{12}} : f_1(x) = 0, \dots, f_{15}(x) = 0\}$$

is Calabi-Yau manifold

$$\begin{pmatrix} 1 & 1 & 0 & 0 & 0 & 0 & \dots \\ 0 & 0 & 1 & 0 & 0 & 1 & \dots \\ 0 & 0 & 0 & 0 & 1 & 1 & \dots \\ 1 & 0 & 0 & 1 & 0 & 0 & \dots \\ 1 & 0 & 0 & 0 & 0 & 1 & \dots \\ 0 & 0 & 1 & 2 & 0 & 0 & \dots \\ 0 & 1 & 0 & 0 & 2 & 0 & \dots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \\ \vdots & \vdots & \vdots & \vdots & \vdots & \vdots & \ddots \end{pmatrix}$$



- ▶ geometric invariant "second Hodge number" $h^2 : \{\text{Calabi-Yau mf}\} \rightarrow \mathbb{Z}$
- ▶ Learn

$$h : \mathbb{R}^{12 \times 15} \rightarrow \mathbb{Z}$$

$$M \mapsto h^2(\text{CY}(M))$$

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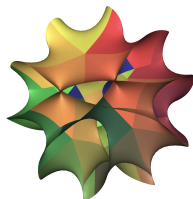
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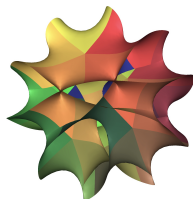
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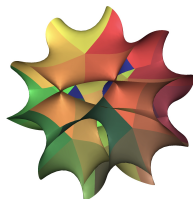
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▶ Let $x_0 = \begin{pmatrix} 10^{179} & 10^{178} & 10^{177} & \dots & 10^{165} \\ \vdots & \vdots & \vdots & & \vdots \\ 10^{29} & 10^{28} & 10^{27} & \dots & 10^{15} \\ 10^{14} & 10^{13} & 10^{12} & \dots & 10^0 \end{pmatrix} \in \mathbb{R}^{12 \times 15}$

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▶ $F : M \mapsto$ lexicographically biggest row/column permutation of M

E.g. $F \begin{pmatrix} 2 & 0 \\ 1 & 3 \end{pmatrix} = \begin{pmatrix} 3 & 2 \\ 0 & 1 \end{pmatrix}$

▶ Compute F ? For $M \in \mathbb{R}^{12 \times 15}$ apply random permutations until get no bigger
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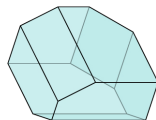
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| | Original dataset | Randomly permuted |
|---------------------|-------------------------------------|-------------------------------------|
| Inception | 0.970 ± 0.009 | 0.844 ± 0.117 |
| G-inv MLP | 0.895 ± 0.029 | 0.914 ± 0.023 |
| F +Inception | 0.975 ± 0.007 | 0.963 ± 0.016 |

Inception
[Erbin and Finotello, 2021]

Example 3: Kreuzer-Skarke toric variety list

- ▶ $M \in \mathbb{R}^{4 \times 26}$
 - ↔ polytope in \mathbb{R}^4 with 26 vertices
 - ↔ Toric Fano variety



↔ (Suitable degree) Hypersurface is Calabi-Yau manifold $CY(M)$

- ▶ Learn

$$h : \mathbb{R}^{4 \times 26} \rightarrow \mathbb{Z}$$

$$M \mapsto h^2(CY(M))$$





- ▶ x_0, U, F as before ↔

| Model | Accuracy Original Dataset | Accuracy Permuted Dataset |
|---------------|------------------------------|------------------------------|
| Invariant MLP | 79.30 ± 0.90% | 78.45 ± 0.92% |
| MLP | 96.86 ± 0.31% | 92.04 ± 0.54% |
| MLP+F | 96.66 ± 0.30% | 95.37 ± 0.37% |





cf.
[Berglund et al., 2021]



Thank you for the attention!

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- ▶ Polytope image:
https://en.wikipedia.org/wiki/Simple_polytope#/media/File:Associahedron_K5.svg
- ▶ Tessellation of hyperbolic plane:
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